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Therapeutic drug monitoring of tacrolimus in kidney transplant patients: Insights from an Indian tertiary care clinical setting

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ABSTRACT

Kidney transplantation is the most recommended treatment for patients with end-stage kidney disease. The major problem faced with transplantation is allograft rejection, which can be prevented by administering immunosuppressants like tacrolimus. Tacrolimus level exhibits wide variability among individuals, making therapeutic drug monitoring important. This study aimed to understand the dosing protocol of tacrolimus in kidney transplant patients and to assess the relationship between dosage and concentration levels, in the absence of information on CYP3A5 genetic polymorphism. It was a retrospective observational study including 90 patients from a tertiary care teaching hospital, who were classified into two categories, followed from the transplantation and nFFT. Data were collected from in-patient files as well as electronic medical records. This study found that tacrolimus levels were proportionately increasing from 4 to 15 ng/ml with doses. Average initial doses were high $(4.34 \pm 1.73 \text{ mg})$ and tapered to $(3 \pm 0.46 \text{ mg})$ over the visits. There were deviations observed from anticipated levels in a certain number of patients, indicating a need for genotype testing before tacrolimus dosing to accurately determine initial doses. Including genetic data would be an appropriate measure for accurate dosage adjustment, especially during the initial stages post kidney transplant.

INTRODUCTION

Kidney transplantation is the treatment of choice for patients with end-stage kidney disease, in which healthy kidneys are transplanted from a donor to a recipient. According to the Global Observatory on Donation and Transplantation, in 2019, a total of 102,090 kidney transplants were performed worldwide [1].

Currently, approximately 7,500 kidney transplants are performed annually in India, among which 90% are from living donors and 10% are from deceased donors [2]. After an organ transplant surgery, there is a need for the administration of immunosuppressants for life, to prevent graft rejection.

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The currently accepted first-line immunosuppressive dosage regimen in renal transplant patients is tacrolimus combined with mycophenolate with or without adding corticosteroids [3].

Tacrolimus, an Immunosuppressant, belongs to the calcineurin inhibitor group. It is considered a cornerstone in drug therapy maintenance after kidney transplantation [4,5]. By forming a complex along with an Immunophilin FK506-binding protein, it results in immunosuppression [6]. The pharmacokinetic characteristics of tacrolimus are well characterized. After tacrolimus enters systemic circulation, it binds to erythrocytes (about 99%) [4], resulting in reduced bioavailability in patients awaiting kidney transplantation [7]. Tacrolimus is primarily metabolized by the CYP3A enzyme system. Tacrolimus metabolites are mainly excreted by bile (Approximately 95%) and only 2% by urinary excretion [4]. Tacrolimus trough ranges from about 4 to 15 ng/ml for a kidney transplant recipient, exhibiting a narrow therapeutic index [8].

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As tacrolimus shows significant inter-/intra-patient variation in its pharmacokinetics, narrow therapeutic index, and poor bioavailability(oral), therapy with tacrolimus is challenging. Minor variations in its exposure, result in a reduction of immunosuppression or drug toxicity along with potentially fatal consequences [9].

Underdosing may result in insufficient immunosuppression, thereby increasing the risk of organ rejection, whereas over-dosing can cause toxic side effects, such as nephrotoxicity, neurotoxicity, and gastrointestinal disturbances. Chronic toxicity can also lead to long-term complications, including an increased risk of infections and malignancies. Hence, it is extremely important to monitor tacrolimus levels on a routine basis [10].

Tacrolimus levels can be measured using immunochemical assays. One of the most popular methods for this is liquid chromatography-tandem mass spectrometry (LC-MS/MS) and chemiluminescent microparticle immunoassay. Currently, 53% of therapeutic drug monitoring (TDM) laboratories use LC-MS/MS to monitor tacrolimus concentrations. Whole blood is the most suitable matrix for measuring tacrolimus because of its extensive distribution into red blood cells (erythrocytes) [11].

Polymorphisms in gene *CYP3A5* explain 40% to 50% variability in the dose requirement of tacrolimus [4]. The therapeutic drug concentration and efficacy of tacrolimus in transplantation can be influenced by various factors such as the type of organ transplanted, the time since the transplant, the patient's age, the method used to monitor drug levels, the type of sampling (trough, area under curve, or limited sample strategy), the patient's race, and any concurrent immunosuppression [10]. The genetic polymorphism assessment for CYP3A5 enzyme is not routinely performed in many clinical settings in our country. Dosage adjustments are based on the clinical judgment of the treating physician along with the drug-level estimates.

The aim of this study was to understand the dosing protocol of tacrolimus in renal transplant patients and to assess the relation between dosage and concentration levels in the absence of information on *CYP3A5* genetic polymorphism in patients in a tertiary care setup.

METHODOLOGY

Study participants and study design

This study was planned as a retrospective design by accessing data of relevant patients from the medical records department. Data from patients who underwent kidney transplantation and visited the study tertiary care setting for follow-ups between January 2019 to December 2021 were included in this study. Case files of all patients who underwent kidney transplantation surgery and were treated with tacrolimus were included in the study, whereas patient files with insufficient data were excluded. Ethical approval from the Institutional Ethical Committee of Kasturba Hospital, Manipal, was obtained before the start of data collection (IEC2: 386/2022). This study was a retrospective observational study.

Data collection

Data of 90 patients, including demographic details (age, weight, and height), transplantation details (date of transplant), immunosuppressant drugs given to patients, and their medications, were collected from the in-patient files from the medical records department and out-patient files from the hospital electronic medical records.

Blood sample timings were documented from the case records and tacrolimus blood levels reported from the external laboratories were also collected from the case files. Information like doses of tacrolimus with corresponding tacrolimus levels for up to 10 visits and other parameters such as serum creatinine and hematocrit values, biopsy dates, and their results were also collected. The information on graft function and other comorbidities was insufficient; therefore, we excluded this information from this study.

Data analysis

The collected data were analyzed using the R software package version 4.2.1 [12], to understand the relationship between the tacrolimus doses and levels. No statistical method was used in this study and no tests for significance were included. Only descriptive statistics were used to explain the data. The data were classified into two categories-patients whose tacrolimus levels were monitored right followed from the transplantation date (FFT) and those whose levels were monitored later followed from the transplantation date (nFFT).

In the current study, patient files were screened, and it was noted that the data were available from the transplant time for many patients (FFT).

RESULTS

Case records of 108 renal transplant patients were available during the time-period, and records of 90 were included according to the inclusion and exclusion criteria. The included data of patients (n = 90) were categorized into two groups: patients who were FFT (n = 62) and patients who were followed later nFFT(n = 28). The patient data selection criteria are shown in Figure 1. There were 79 males and 11 females, with a mean age of 41 years and a mean body weight of 63.4 kg. The demographic and clinical characteristics of the patients are presented in Table 1.

The tacrolimus doses given were ranged from 1 to 9.5 mg. The tacrolimus concentrations were found to be within the therapeutic window of tacrolimus, i.e., 4–15 ng/ml. The values are presented in Table 2. When the tacrolimus levels were evaluated, the mean concentrations for the group, which followed on a later date nFFT had lower concentrations (5.38 \pm 2.43) compared with the group which was FFT (7.80 \pm 2.85). The initial doses for the FFT group were found to be higher than for the nFFT group. The mean \pm SD of tacrolimus levels for different starting doses was calculated, and the values are presented in Table 3. The average initial and maintenance doses of tacrolimus for the total sample, FFT and nFFT groups were calculated separately and the values are presented in Table 4, respectively. The plots were generated to understand the relation between different follow-up visits and the corresponding

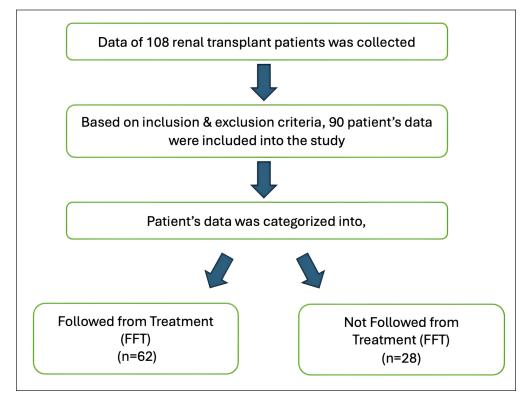


Figure 1. Patient data collection criteria.

Characteristic	Value
Age* (years)	41 ± 12
Sex [#]	
Males	79
Females	11
Weight* (kg)	63.4 ± 13.9
Immunosuppressant use#	
Tacrolimus	90
Prednisone	90
Mycophenolate	67
Azathioprine	23
Dose* (mg)	3.5 ± 1.5
Tacrolimus level* (ng/ml)	7.77 ± 2.91

*(mean \pm SD).

#(Single patient, thus no mean \pm SD).

tacrolimus doses and concentrations. A scatter plot showing various doses given to the patients during their visits was generated, and it showed that there was a gradual tapering of doses from initial visits until it was maintained at 2–3 mg. (Fig. 2).

When tacrolimus levels versus visits were plotted, a gradual decline was noticed in the tacrolimus trough concentration levels in the body, as doses are tapered off with time (Fig. 3). A linear rise in the levels was noticed when doses were plotted against tacrolimus levels, showing that

Sl. No.	Tacrolimus dose(mg)	Tacrolimus level* (ng/ml)
1.	1.5	4.60 ± 1.73
2.	1.75	4.60 ± 1.34
3.	2	5.44 ± 1.24
4.	2.25	5.57 ± 0.47
5.	2.5	5.55 ± 1.02
6.	2.75	7.19 ± 1.90
7.	3	7.67 ± 1.63
8.	3.25	7.18 ± 1.38
9.	3.5	8.54 ± 1.40
10.	4	9.78 ± 1.66
11.	4.5	10.24 ± 1.08
12.	5	10.28 ± 2.53
13.	5.5	11.53 ± 1.40
14.	6	10.99 ± 2.51
15.	6.5	12.53 ± 1.59
16.	7	13.97 ± 1.24
17.	7.5	13.93 ± 2.82
18.	9	10.95 ± 5.72
19.	9.5	11.44 ± 2.16
(mean + SD)		

Table 2. Mean tacrolimus levels observed for the different doses.

*(mean \pm SD).

tacrolimus concentrations in the body decrease proportionally as tacrolimus doses were reduced, and vice-versa (Fig. 4). It was observed that the initial tacrolimus dose was ranged from 0.07 to 0.12 mg/kg in about 65% of patients. It was observed that the tacrolimus levels (ng/ml) after the initial doses (in mg) were twice the value of the doses. For, e.g., if a 5 mg dose was administered, the resultant concentration observed was around

the transplantation.		
Sl. No.	Starting dose(mg)	Tacrolimus level* (ng/ml)
1.	2	4.96#
2.	2.5	5.57 ± 0.52

 9.01 ± 4.25

 9.83 ± 2.00

 11.4 ± 1.68

 9.93 ± 1.61

 10.64 ± 2.93

 12.25 ± 1.05

 10.76 ± 3.16

 12.92 ± 0.17

 14.77 ± 0.69

15.93#

6.9#

8.95#

3

3.5

4

4.5

5

55

6

6.5

7

7.5

9

9.5

 Table 3. Mean tacrolimus levels for the initial doses given right after the transplantation.

*(mean \pm SD).

3.

4.

5.

6.

7.

8.

9.

10.

11.

12.

13.

14.

#(Single patient, thus no mean \pm SD).

10 ng/ml. Overall, this ratio between the dose and concentration was between 1.6 and 2.4 in 56% of the patients. In the rest of the cases, the ratios were above or below these values. Initial trough levels were around 9.5 ng/ml. The doses were then brought down gradually till the trough levels were maintained between 4 and 6 ng/ml and this reduction in doses was observed in around 80% of the patients.

In 11.29% of the patients (n = 8), higher trough concentrations were observed, than anticipated and as compared with the mean trough concentrations/dose. In 4 out of the 62 patients (6.4%), trough concentrations were much lower than anticipated (Table 2). This could be due to multiple reasons, such as low hematocrit levels, administration of concurrent interacting medications, genetic polymorphism, and so on.

Table 4. Average initial and maintenance doses of tacrolimus.

Dose (mg)	Tacrolimus dose* (mg)
Initial dose total sample	4.34 ± 1.73
Initial dose FFT	5.02 ± 1.40
First visit dose nFFT**	2.84 ± 1.44
Maintenance dose total sample	3 ± 0.46
Maintenance dose FFT	3.10 ± 0.47
Maintenance dose nFFT	2.99 ± 0.52

FFT- Patients who were followed from the time of transplant.

nFFT- Patients who were not followed from the time of transplant but after many years of transplant.

*(mean ± SD).

**First visit dose means the dose given to the patient when they visited first time to this hospital.

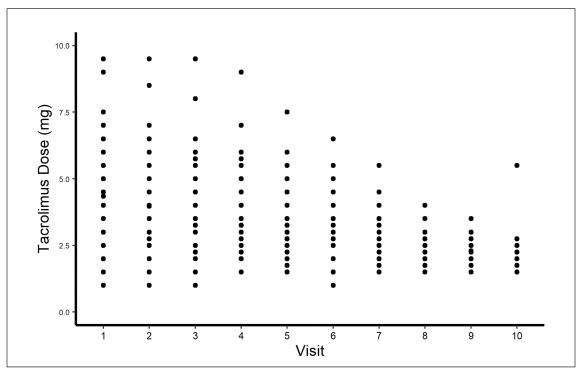


Figure 2. Visit versus tacrolimus dose plot.

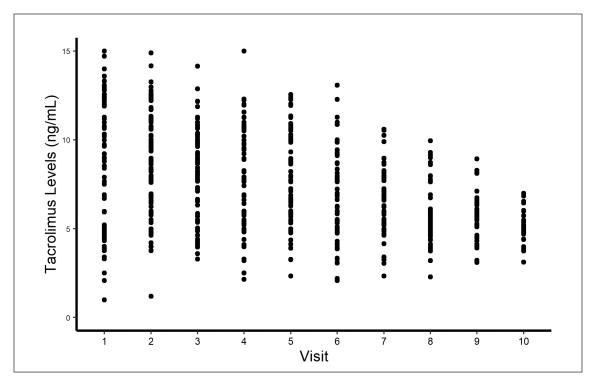


Figure 3. Visit versus tacrolimus levels plot.

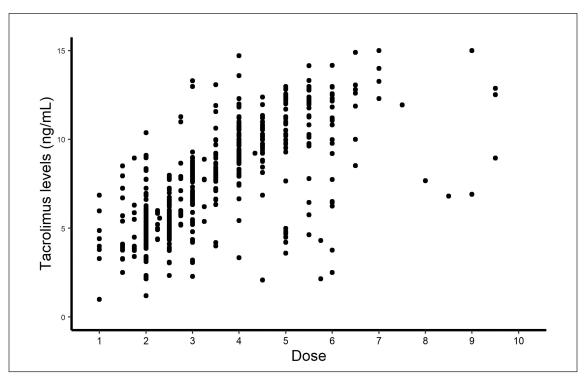


Figure 4. Tacrolimus dose versus levels plot.

DISCUSSION

This retrospective study aimed to understand the dosing protocols of tacrolimus and its resulting trough concentrations. Tacrolimus exhibits wide variability in its pharmacokinetics and pharmacodynamics among individuals, which can lead to ineffective treatment. It is important to monitor the correlation between blood drug concentrations and clinical outcomes, supporting the use of TDM. In the early years of its use, the initial target ranges for tacrolimus were broad, ranging between 5 and 40 ng/ml. Subsequently, lower trough concentrations were adopted, typically ranging between 10 and 20 ng/ml [13]. The initial consensus conference on TAC optimization, convened at Lake Louise in 1995, proposed that tacrolimus whole blood concentrations should be maintained between 5 and 20 ng/ml for all transplant populations [13]. During the first year post-transplantation, the trough level of tacrolimus is typically maintained at 3 to 5 ng/ml, provided the recipient's renal transplant condition remains stable [4,14].

In this study, it was noted that the tacrolimus doses were started with a higher dose range (2.5-9.5 mg) and then tapered down to lower doses (1-2 mg). Initially, after the renal transplant, the doses were given in the range of 4 to 12 mg [6,11,15]. The usual trough concentrations were maintained around 15-20 ng/ml during the first 14 days, and thereafter, the concentrations were reduced to 4 to 10 ng/ ml over a period of 6 months [6,11,15,16]. The doses will also be brought to around 1 mg [6]. The literature reports say that higher or lower levels of trough concentrations than the anticipated level may be due to CYP3A5 genetic polymorphisms. Based on this, there is a difference in metabolism among individuals who can be classified as fast, intermediate, and slow metabolizers, depending on which initial doses can be decided. In the present study, initial doses based on genetic polymorphism were not done, as the genetic data were not available.

In a setting where genetic polymorphism assays are performed, patients will be generally classified into rapid and intermediate metabolizers of CYP3A5, the target concentrations were attained with a delay. On the contrary, the target concentrations are achieved faster in patients who are classified as slow metabolizers of CYP3A5 [5,8,17,18]. Generally, genetic phenotypes will be considered for initial dosing, and the body weight of the patient alone may not be sufficient for precise dosing [5,18]. In the current setting, clinicians implemented careful titration of doses based on the tacrolimus levels in the absence of information on the genetic polymorphisms [5,8,17,18]. We observed variations in tacrolimus concentrations from the anticipated levels in a certain number of patients initially post-transplantation. This demonstrates the need to adopt genotype testing before tacrolimus dosing to appropriately decide the initial doses.

In most clinical settings, the starting dose of tacrolimus is exclusively based on body weight. Many population pharmacokinetic (PopPk) studies have been reported in the literature over the years from different patient populations [8–10]. However, very few studies have evaluated PopPK models prospectively. Few such models that incorporated genotyping of *CYP3A5* demonstrated better target attainment compared to the body weight-based dosing [15,16]. As per the literature, we have found that genetic polymorphisms of Cyp3A5 can cause high variability in tacrolimus trough levels. By including these data in the study, we can infer key inputs regarding the variations in the patient's Tacrolimus trough levels based on the type of metabolizers they are, and can provide a better dosing protocol for tacrolimus. Some software platforms have been

developed for the model-informed precision dosing of many drugs, including tacrolimus. Some of such tools are available as open-source tools and many of them are available with subscriptions [19]. We did not get much information on the comorbidities and concurrent medications from the medical records as this included information from multiple patient visits. There might be variations in the tacrolimus trough levels due to these factors; however, in this study, we could not include this information.

Apart from the body weight, tacrolimus levels are reported to be influenced by hematocrit values, and duration post-transplantation resulting in inter- and intra-patient variabilities [15,20]. These factors have to be considered in designing the dosage regimen of tacrolimus.

CONCLUSION

This study assessed the protocol and titration approach of tacrolimus dose. Based on patient's body weight, the doses ranged from 2.5 to 9.5 mg, and the trough concentrations were maintained within 4-15 ng/ml. The current study explained the decision-making of clinicians in the absence of pharmacogenetic information to fine tune the dosing based on the levels of tacrolimus. The use of this type of data along with the pharmacogenetic data can potentially be used to develop a PopPk model for dosage adjustments to recommend precise dosing in this population.

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AUTHOR CONTRIBUTIONS

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work. All the authors are eligible to be an author as per the International Committee of Medical Journal Editors (ICMJE) requirements/guidelines.

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CONFLICT OF INTEREST

The authors report no financial or any other conflicts of interest in this work.

ETHICAL APPROVALS

Ethical approval details is given in the 'Methodology section.

DATA AVAILABILITY

Inquiries regarding the data may be directed to the corresponding author.

PUBLISHER'S NOTE

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USE OF ARTIFICIAL INTELLIGENCE (AI)-ASSISTED TECHNOLOGY

The authors declares that they have not used artificial intelligence (AI)-tools for writing and editing of the manuscript, and no images were manipulated using AI.

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