

Promising chitosan-alginate combination for rifampicin dry powder inhaler to target active and latent tuberculosis

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ABSTRACT

A suitable excipient with certain characteristics is required in formulating a dry powder inhaler (DPI), to deliver the anti-tuberculosis (TB) drug into the lung and provide adequate drug concentration in the lung and in the alveolar macrophage, to overcome active and latent TB infection. This study aimed to explore the combination of chitosan and alginate in formulating rifampicin DPI. Rifampicin DPI was prepared by spray drying using various combinations of chitosan and alginate. The obtained rifampicin dry powder was characterized for its particle size distribution, morphology, moisture content, drug content, and entrapment efficiency. In addition to the dissolution study in a phosphate buffer of pH 7.4 with sodium lauryl sulfate 0.05% and in a phthalate buffer of pH 4.5, the cytotoxicity study toward cell line A549 was also conducted. The combination of chitosan and alginate in DPI F3 (RIF-Ch-Alg 2:1:1) provides a suitable drug release profile of rifampicin DPI in both simulated lung fluid ($78.301\% \pm 1.332\%$ in 2 hours) and simulated macrophage fluid ($41.355\% \pm 1.259\%$ in 2 hours). DPI F3 also possessed an aerodynamic particle size of $11.4288 \pm 1.259 \mu\text{m}$ and was also considered safe toward pulmonary epithelial cells (viability 89.73%) in concentrations up to 0.1 mg/ml. In conclusion, combination of chitosan and alginate is a prospective carrier to develop dry powder inhaler with suitable characteristics for tuberculosis therapy.

INTRODUCTION

Tuberculosis (TB) is an infectious disease, caused by *Mycobacterium tuberculosis*, with high prevalence in Indonesia. The World Health Organization reported that Indonesia is estimated to be the third country in the world (next to India and China) with the highest TB cases (World Health Organization, 2019). The current treatment of TB requires the administration of oral anti-TB drugs for 4–6 months. However, long-term oral administration of anti-TB drugs may cause severe adverse effects, poor patient compliance toward the regimen, low absorption of anti-TB drugs to the lung, and inadequate anti-TB level in

the infected organ (Sotgiu *et al.*, 2015). Those conditions may eventually lead to therapeutic failure, bacterial resistance, or latent TB condition (Ahmad, 2011; Barry *et al.*, 2009). Direct delivery of anti-TB drugs to the lung allows higher drug concentration of anti-TB drugs inside the infected organ, thus preventing bacterial resistance and latent TB condition. Pulmonary delivery of anti-TB drugs would also avoid systemic adverse effects, as well as reduce dose, duration, and cost of therapy (Das *et al.*, 2015; Parumasivam *et al.*, 2016). Therefore, pulmonary-targeted dosage forms would be a strategy to improve the efficiency of TB therapy.

Among other lung-targeted dosage forms, the dry powder inhaler (DPI) provides better stability under storage conditions. The DPI provides noninvasive therapy and allows various formulations and carriers for the intended drug release profile (de Boer *et al.*, 2017). Therefore, in this study, the DPI was formulated to deliver the anti-TB drug into the lung. Rifampicin is one of the first-line anti-TB drugs which makes it a suitable model drug for this study.

Latent tuberculosis infection (LTBI) is defined by the absence of clinical symptoms of TB but carries a risk of subsequent

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progression to clinical disease (Barry *et al.*, 2009). In a person with LTBI, *M. tuberculosis* is entrapped inside the macrophages which create a microenvironment that limits the replication and spreading of the infection; thus, the bacteria are in the dormant stage of the infection and are not active. Nonetheless, these dormant cells can be reactivated when the host immune system is suppressed (Lin and Flynn, 2010). Therefore, targeting anti-TB drugs into the alveolar macrophages is considered to be one of the strategies to combat LTBI.

Despite many studies to deliver anti-TB drugs directly into the lung, there are fewer studies targeting alveolar macrophages. Among those studies, the application of single and synthetic polymers (such as poly lactic-co-glycolic acid/ PLGA) is the most explored. Therefore, in this study, we explore the combination of natural polymers from Indonesia as an excipient for formulating the DPI. Chitosan has been proven as an excipient which effectively induces macrophage uptake and thus is considered as a suitable polymer to deliver anti-TB drugs into the alveolar macrophages to treat LTBI (Park *et al.*, 2013). However, several studies showed that chitosan could induce an unintended inflammation response in the lung (Huang *et al.*, 2005; Mori *et al.*, 2005). The combination of chitosan with alginate (Li *et al.*, 2008) is expected to reduce the immune response after pulmonary administration. A previous study showed that the combination of chitosan with xanthan gum could offer a suitable release profile in physiological fluid (Surini *et al.*, 2019). Therefore, in this study, rifampicin DPI was formulated using chitosan (macrophages-targeted polymer) and alginate (controlled-release polymers) to obtain DPI with a suitable release profile in the lung and macrophage fluid.

This study aimed to explore the formulation of rifampicin DPI using the combination of chitosan and alginate, to reach adequate rifampicin concentration in both lung tissue and alveolar macrophages, to combat both active and LTBI and improve the efficiency of TB therapy. In this study, rifampicin DPI was prepared by spray drying, using the combination of chitosan and sodium alginate. The physical properties of the DPIs were characterized. Furthermore, a dissolution study in a phosphate buffer of pH 7.4 with sodium lauryl sulfate (SLS) 0.05% and in a phthalate buffer of pH 4.5, as well as a cytotoxicity study towards cell line A549, was performed, in order to evaluate its potency to target lung and alveolar macrophages.

MATERIALS AND METHODS

Materials

Materials used in this research included rifampicin (Luohe Nanjiecun, China), chitosan (Bio Chitosan, Indonesia), sodium alginate (Shandong Jiejing, China), and other chemicals of analytical grade.

Method

Preparation of rifampicin DPI

Rifampicin DPI was prepared by the spray drying technique using a B-290 mini spray dryer with a nozzle size of 0.7 mm (BÜCHI, Germany), using a combination of chitosan and alginate as carrier excipients. The formulation of rifampicin DPI was shown in Table 1. Briefly, chitosan and rifampicin

were dissolved separately in 1% acetic acid of pH 2–3 and then mixed. After the mixture was dispersed homogeneously, the pH was adjusted with NaOH 1N to pH 4–5. Sodium alginate was dispersed in deionized water and then dripped into a chitosan-rifampicin solution while stirring until homogenous. The mixture was continuously stirred and sprayed with a spray dryer with an inlet temperature of 150°C and a spraying rate of 25 ml/minute. Dry powders were stored in an airtight container in a desiccator.

Physical characterization

The organoleptic properties of rifampicin DPI were observed, including its shape, color, taste, and smell. Particle morphology was analyzed using a scanning electron microscope (SEM) (SSX-550, Shimadzu, Japan). The moisture content of rifampicin DPI was measured with a moisture balance (Adam Equipment AMB 50, UK) at 105°C. Aerodynamic particle size was analyzed by a cascade impactor (Andersen, Japan) and presented as mass median aerodynamic diameter (MMAD).

Drug content and entrapment efficiency

The drug content of DPI was analyzed by dissolving 50 mg DPI in 25.0 ml methanol. The solution was then diluted in a phosphate buffer of pH 7.4, and its absorbance was measured using a spectrophotometer (UV-1800, Shimadzu, Japan) at 473 nm. Drug content was expressed as rifampicin concentration in each gram DPI. Entrapment efficiency was calculated by comparing the drug amount in DPI and its theoretical amount, as described in the following formula:

$$\% \text{ Entrapment efficiency} = \frac{\text{Drug content measured in powder (mg)}}{\text{Theoretical drug content in each formulation (mg)}} \times 100\%.$$

Drug release profile

The drug release study was performed on DPI (equal to 25 mg of rifampicin), using a dissolution tester (Electrolab TDT-08L, India) basket type. This study was performed in 900 ml of simulated lung fluid (containing a phosphate buffer of pH 7.4 with 0.05% SLS) and 900 ml of simulated macrophage fluid (containing a phthalate buffer of pH 4.5). The dissolution medium was maintained at 37°C ± 0.5°C with a stirring speed of 100 rpm. Six milliliters of the samples was taken at 5, 15, 30, 45, 60, 75, 90, and 120 minutes. The samples were filtered using a 0.45 µm filter and then measured using a spectrophotometer (UV-1800, Shimadzu, Japan) at the maximum absorbance of rifampicin at 473 nm.

In vitro cytotoxicity

An *in vitro* cytotoxicity study was carried out toward cell line A549 (epithelial cell carcinoma of the lung). Cells were grown

Table 1. Formulation of rifampicin DPI.

Formula	Rifampicin (g)	Chitosan (g)	Na-alginate (g)
F1	30	30	—
F2	30	—	30
F3	30	15	15
F4	30	20	10
F5	30	10	20

with a concentration of 5,000 cells in Dulbecco's Modified Eagle Medium/Nutrient Mixture F-12 (DMEM/F-12) until reaching 50% confluency. Rifampicin and rifampicin DPI were dispersed in the DMEM/F-12 to obtain a final active ingredient concentration of 0.01, 0.1, 1, 2, and 5 mg/ml and were then added to the cells. The 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) test was performed by adding 10 μ l MTT (5 mg/ml) per well and incubation for 4 hours at 37°C. Formazan crystal was dissolved in ethanol, and the absorbance was measured at a wavelength of 595 nm (Chvatal *et al.*, 2018).

RESULTS AND DISCUSSION

Physical properties of rifampicin DPI

As depicted in Figure 1, the spray drying process of rifampicin DPI produced a fine and orange-colored dry powder. Observation of DPI using the SEM showed different shapes and morphologies of the rifampicin powder and rifampicin DPI. As depicted in Figure 2, the rifampicin powder showed various nonspherical shapes within its powder. F1 (RIF-Chi) showed more spherical powder, while F2 (RIF-Alg) had a rough surface. The

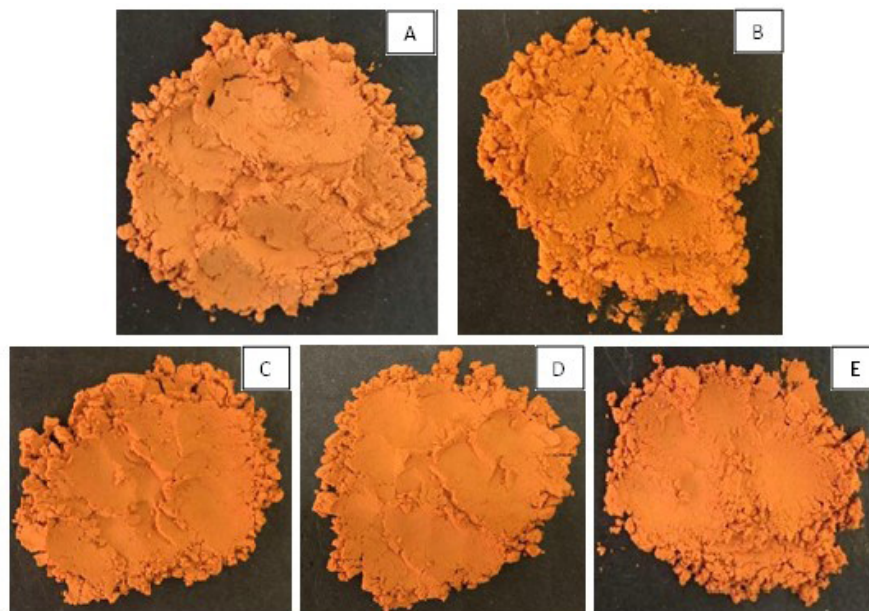


Figure 1. The physical appearance of rifampicin DPI: F1 (A), F2 (B), F3 (C), F4 (D), and F5 (E).

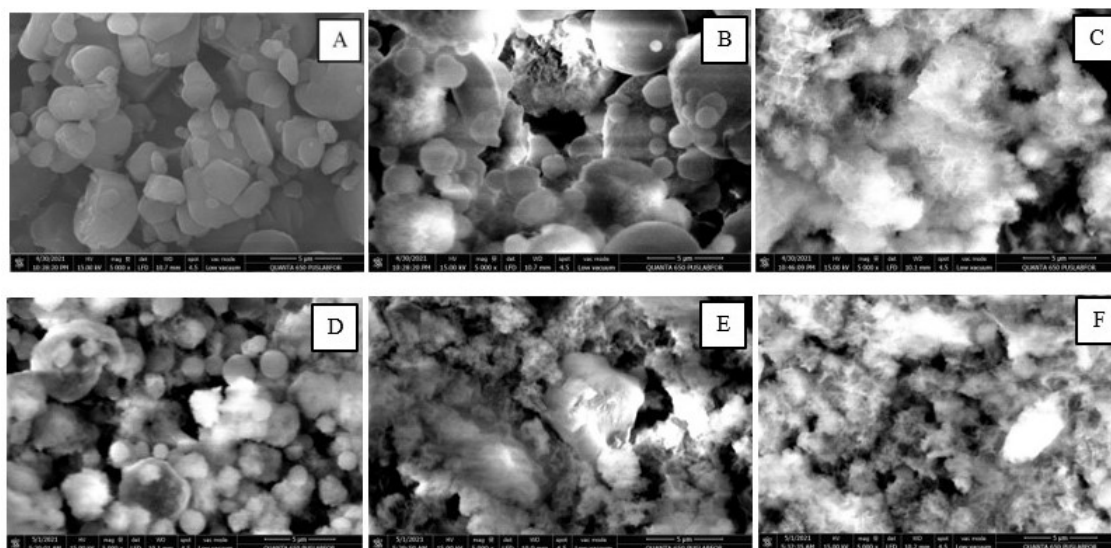


Figure 2. Morphology of Rifampicin (A), F1 (B), F2 (C), F3 (D), F4 (E), and F5 (F) with 5,000 \times magnification.

rough fibrous surface of F2 was due to the alginate which did not perfectly dissolve in the medium; thus, the spray drying process was not optimal. The combination of chitosan and alginate in DPI (F3, F4, and F5) also produced a rough-surfaced particle.

The spray drying process of rifampicin DPI produced a powder with a moisture content of 7.05%–12.97% (Table 2). Alginate-containing DPIs (F3, F4, and F5) showed higher moisture content. DPI F2 which contained alginate only as a carrier showed the highest moisture content. This phenomenon was due to the hygroscopic properties of the alginate and its high ability to absorb water (Rowe, 2009). The higher moisture content of the alginate-containing DPI was also due to the high viscosity of the alginate solution, which caused a challenge in the drying process of these formulas. The drying process was performed with the same parameters for all formulas (inlet temperature of 150°C and a spraying rate of 25 ml/minute). However, with the high viscosity of the alginate solution (in DPIs F2, F3, F4, and F5), it was more difficult for water to be evaporated from the DPIs, thus resulting in a powder with a higher moisture content.

The moisture content of the DPI was further correlated to its particle size. As shown in Table 2, DPI F1 which contains chitosan only as the carrier possessed the lowest moisture content, as well as the smallest aerodynamic particle size. On the other hand, DPIs F2–F5 with a higher moisture content also showed a bigger aerodynamic particle size. As discussed above, the high viscosity of the alginate solution (in DPIs F2, F3, F4, and F5) caused difficulty for water evaporation from the DPIs; thus, more water was still entrapped inside the powder. A high moisture content may also decrease the flowing capacity of powders due to stickiness properties (Lechanteur and Evrard, 2020), thus increasing aerodynamic particle size.

Among those formulas containing the combination of chitosan and alginate, DPI F3 (RIF-Ch-Alg 2:1:1) showed the smallest aerodynamic (MMAD) particle size. Measurement of the aerodynamic particle size of these DPIs was based on the deposition of powders in the cascade impactor (which represent the trachea, bronchus, and bronchioles of the lung), which is also affected by its morphology, density, and moisture content (de Boer *et al.*, 2017).

A particle with MMAD 1–5 µm can be expected to be deposited in the deep lung. Therefore, since the aerodynamic particle size of all formulas, as shown in Table 2, was above 5 µm, it is assumed that these powders were deposited in the upper airway (bronchus or bronchioles). For further study, it is important to optimize the formula and improve the preparation and drying process of the DPIs to produce DPIs with lower moisture content and smaller aerodynamic particle size (5–10 µm) which can deposit in the deep lung.

Table 2. Physical properties of rifampicin DPI.

Formulation	Moisture content (%)	MMAD (µm)
F1 (RIF-Ch 1:1)	7.05	5.753 ± 1.906
F2 (RIF-Alg 1:1)	12.97	11.376 ± 1.297
F3 (RIF-Ch-Alg 2:1:1)	11.43	11.429 ± 1.259
F4 (RIF-Ch-Alg 3:2:1)	10.52	11.940 ± 1.293
F5 (RIF-Ch-Alg 3:1:2)	10.85	11.668 ± 1.287

Drug content and entrapment efficiency of rifampicin DPI

Drug content and entrapment efficiency of the DPIs were measured, and the results were presented in Table 3. The drug content of DPIs was 3.227–12.153 mg/g powder. The entrapment efficiency of DPIs was still lower than 50% (12.826%–48.107%). It was due to the suspension form of the polymers, and rifampicin was not perfectly dissolved in it, and thus much of the rifampicin was not well entrapped by the carrier. In the above formula, F3 (RIF-Ch-Alg 2:1:1) showed the lowest drug content and entrapment efficiency. It was probably due to the forming of the polyelectrolyte complexes of chitosan-alginate, which were less dissolved in the solution and thus less capable of entrapping the loaded drug (Huang *et al.*, 2021; Li *et al.*, 2008).

Drug release profile of rifampicin DPI

The drug release profile of rifampicin DPI was studied in the phosphate buffer of pH 7.4 with SLS 0.05% and in the phthalate buffer medium of pH 4.5 to represent simulated lung fluid and simulated macrophage fluid, respectively, to ensure the intended drug release profile in both conditions is achieved. A dissolution test on the rifampicin powder itself was also performed.

As shown in Table 4 and Figure 3, we found no significant difference in the dissolution of the rifampicin powder in simulated lung fluid (medium pH 7.4) and that in simulated macrophage fluid (medium pH 4.5). The rifampicin powder is dissolved more in an acidic medium (19.20 ± 1.57 mg/ml in pH 2.06) than in a basic medium (0.85 ± 0.13 mg/ml in pH 7.06) (Mariappan *et al.*, 2007). However, simulated lung fluid of pH 7.4 contained additional SLS 0.05% to mimic the surfactant in lung fluid and thus can increase the solubility of rifampicin.

The dissolution profiles of the rifampicin DPIs were different compared to the rifampicin powder. This difference was due to the different characteristics of each excipient in the simulated

Table 3. Drug content and entrapment efficiency of rifampicin DPI.

Formulation	Drug content (mg/g DPI)	Entrapment efficiency (%)
F1 (RIF-Ch 1:1)	9.315 ± 0.191	37.067 ± 0.381
F2 (RIF-Alg 1:1)	12.153 ± 0.385	48.107 ± 0.77
F3 (RIF-Ch-Alg 2:1:1)	3.227 ± 0.122	12.826 ± 0.245
F4 (RIF-Ch-Alg 3:2:1)	7.3446 ± 0.289	29.027 ± 0.577
F5 (RIF-Ch-Alg 3:1:2)	6.077 ± 0.236	24.146 ± 0.473

Table 4. Dissolution of rifampicin DPI.

Formulation	Drug dissolved within 120 minutes (%)	
	Buffer of pH 4.5	Buffer of pH 7.4 + SLS 0.05%
RIF	39.324 ± 0.874	42.840 ± 1.468
F1 (RIF-Ch 1:1)	40.246 ± 1.054	34.691 ± 1.086
F2 (RIF-Alg 1:1)	53.082 ± 0.996	98.827 ± 1.164
F3 (RIF-Ch-Alg 2:1:1)	41.356 ± 1.259	78.301 ± 1.331
F4 (RIF-Ch-Alg 3:2:1)	35.958 ± 1.344	57.327 ± 1.251
F5 (RIF-Ch-Alg 3:1:2)	38.996 ± 2.057	62.179 ± 0.971

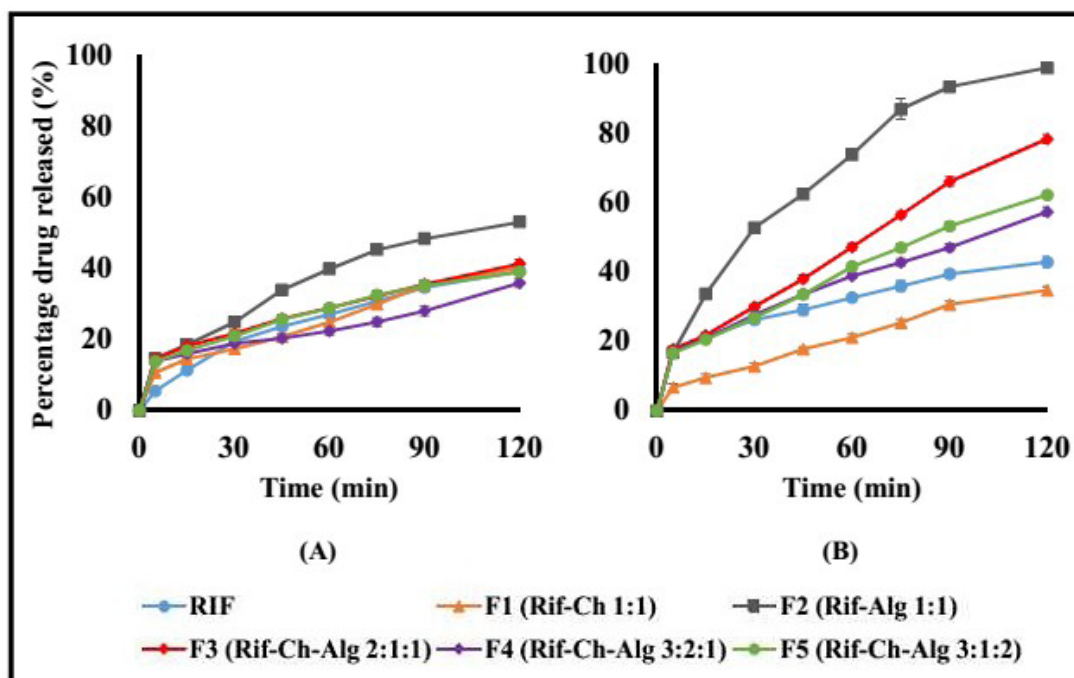


Figure 3. Drug release profile of rifampicin powder and rifampicin DPI (F1 – F5) in phthalate buffer medium pH 4.5 (A) and in phosphate buffer medium pH 7.4 + SLS 0.05% (B). Data is presented as average \pm standard deviation ($n=3$).

Table 5. Viability of A549 lung epithelial cell lines after being exposed to the powder.

Concentration of powder (mg/ml)	Cells viability (%)	
	Rifampicin	DPI F3
Control	0	0
0.01	75.04	94.69
0.1	51.32	89.73
1	3.36	20.35
2	4.60	1.416
5	4.78	1.947

lung or macrophage fluid. Formulating rifampicin in the chitosan carrier (F1) provides a higher dissolution rate in the medium of pH 4.5 ($40.246\% \pm 1.054\%$ in 2 hours) than in the medium of pH 7.4 ($34.691\% \pm 1.086\%$ in 2 hours). On the contrary, the dissolution of rifampicin from the alginate carrier (F2) was higher in the medium of pH 7.4 ($98.827\% \pm 1.164\%$ in 2 hours) than in the medium of pH 4.5 ($53.082\% \pm 0.996\%$ in 2 hours). This phenomenon was related to the solubility of chitosan, which is more soluble in the acid medium, and sodium alginate, which is more soluble in the neutral and base medium (Rowe, 2009).

The combination of chitosan and alginate as a carrier for the rifampicin DPIs (F3, F4, and F5) resulted in higher dissolution of rifampicin in the medium of pH 7.4. Among those three formulas, F3 (RIF-Ch-Alg 2:1:1) showed higher rifampicin dissolution in both media ($41.356\% \pm 1.259\%$ in pH 4.5 in 2 hours; $78.301 \pm 1.331\%$ in pH 7.4 in 2 hours) as compared to F4 and F5.

This result was suggested to be correlated with the formation of chitosan-alginate polyelectrolyte complexes. F3 which contained chitosan-alginate 1:1 formed more polyelectrolyte complexes which facilitate the dissolution of drugs from the DPIs (Huang *et al.*, 2021; Li *et al.*, 2008).

The development of this DPI was aimed at the treatment of active and latent TB. Therefore, among chitosan-alginate-containing DPIs, F3 (RIF-Ch-Alg 2:1:1) was considered as the best formula since it can provide a higher rifampicin concentration in the simulated lung medium (to target active TB) and in the simulated macrophages medium (to target latent TB). However, further studies on macrophage uptake of this DPI should be performed to support the goal. Furthermore, it has potential to be further studied *in vivo*, to explore its efficiency in targeting active and latent TB.

***In vitro* cytotoxicity of rifampicin DPI**

DPI F3 (RIF-Ch-Alg 2:1:1) was selected for *in vitro* cytotoxicity toward A549 lung epithelial cells, since it resulted in a satisfactory dissolution profile. A cytotoxicity test on the rifampicin powder was also performed as a comparison to the F3 DPI. Table 5 shows the viability of A549 lung epithelial cell lines after being exposed to the rifampicin DPI. The results revealed that DPI F3 was less toxic than the rifampicin powder. At a concentration of 0.1 mg/ml, the viability of A549 cells was still higher after being exposed to DPI F3 (89.73%) than that after being exposed to the rifampicin powder (51.32%). This might be due to the hydrophilicity of the particle. The particle of DPI F3 was considered more hydrophilic than the rifampicin powder due to the hydroxyl groups of chitosan and alginate as a carrier.

The more hydrophilic the particles are, the less the particle would be recognized as a foreign particle; thus, it was less toxic to the lung epithelial cells (Chvatal *et al.*, 2018). This result suggested that the application of chitosan-alginate as a carrier in the DPI is considered beneficial to increase the safety of the powder toward lung cells.

CONCLUSION

The combination of chitosan and alginate in DPI F3 (RIF-Ch-Alg 2:1:1) provides a suitable drug release profile of rifampicin DPI in both simulated lung fluid ($78.301\% \pm 1.332\%$ in 2 hours) and simulated macrophage fluid ($41.355\% \pm 1.259\%$ in 2 hours). DPI F3 possessed an aerodynamic particle size of $11.429 \pm 1.259 \mu\text{m}$. DPI F3 was also considered safe toward pulmonary epithelial cells (viability 89.73%) in concentrations up to 0.1 mg/ml. Based on above data, combination of chitosan and alginate can be considered as a prospective carrier to develop dry powder inhaler with suitable characteristics for tuberculosis therapy. Therefore, it has potential to be further studied, to explore its efficiency in targeting active and latent TB *in vivo*.

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CONFLICTS OF INTEREST

The authors report no financial or any other conflicts of interest in this work.

ETHICAL APPROVALS

This study does not involve experiments on animals or human subjects.

DATA AVAILABILITY

All data generated and analyzed are included within this research article.

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AUTHORS' CONTRIBUTIONS

Kurnia Sari Setio Putri designed the work, performed supervision on laboratory works, performed data analysis and interpretation, and revised the manuscript thoroughly. Laily Syahri Ramdhani and Theodora Rachel performed laboratory work, gained data, and wrote the manuscript. Gatot Suhariyono performed data analysis and interpretation and conducted critical revision of the manuscript. Silvia Surini performed supervision, conducted critical revision of the manuscript, and gave final approval for the manuscript. Kurnia SS Putri, Gatot Suhariyono, and Silvia Surini are the main contributors of this work.

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