

Chemistry, ethnobotanical uses and biological activities of the lichen genus *Heterodermia* Trevis. (Physciaceae; Lecanorales; Ascomycota): A comprehensive review

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ABSTRACT

Lichens are composite organisms comprised of a photobiont (an alga or a cyanobacterium) and a mycobiont (an ascomycete or basidiomycete fungus) and represent a stable, ecologically obligate symbiotic association. The lichen genus *Heterodermia* Trevis (Physciaceae; Lecanorales; Ascomycota) is one of the lichen genera distributed worldwide. The thallus is foliose, dichotomously or irregularly branched and the genus *Heterodermia* differs from other foliose lichen genera in the family Physciaceae mainly on the basis of its prosoplectenchymatous upper cortex in combination with atranorin (a cortical lichen substance). In this review, an attempt is made to compile data (by referring books, journals and various search engines such as Google Scholar, PubMed, and ScienceDirect) available on the chemistry, traditional uses and biological activities of species of *Heterodermia*. Atranorin and zeorin are the major metabolites found in *Heterodermia* species. Besides these, salazinic acid and norstictic acid are also found in several *Heterodermia* species. *Heterodermia* species are used ethnobotanically as a flavoring agent, in preparation of perfumes and for treatment of wounds and infections. Literature survey revealed the potential of extracts and isolated constituents of *Heterodermia* species to exhibit biological activities such as antimicrobial, antioxidant, cytotoxic, antinociceptive, anti-inflammatory, insecticidal, immunomodulatory and anthelmintic activity.

INTRODUCTION

Lichens are composite organisms (holobionts) and represent a stable, self-supporting symbiotic relationship between a photosynthetic partner (also called photobiont, representing a microalga or a cyanobacterium) and a fungal partner (referred as mycobiont; represents the majority of the portion of lichens). They are known to be the first colonizers of the earth. Lichens are used traditionally as medicine (to cure dyspepsia, bleeding piles, bronchitis, scabies, stomach disorders, and many disorders), spice, flavoring agents (as an ingredient of garam masala, meat masala, and sambhar masala) and sources of dyes and perfumes. Traditional systems of medicine such as Ayurveda and Unani make

use of lichens (Upreti *et al.*, 2005; Gupta *et al.*, 2007; Nguyen *et al.*, 2013; Shah, 2014; Behera *et al.*, 2016). Lichens are distributed universally and occur in varied climatic conditions ranging from the poles to the tropics. They grow on various substrates such as rock (saxicolous), leaves (foliicolous), soil (terricolous), bark (corticolous) and wood (lignicolous) and exhibit one of the different growth forms such as a) crustose-spreading rapidly over the surface b) foliose-leafy and loosely attached to the surface and c) fruticose-branched and shrubby, hanging from tree twigs or branches, with a single attachment (Sanders, 2001; Pinokiyo *et al.*, 2006; Spribille *et al.*, 2008; Kumar, 2009).

Lichens are shown to be the best indicators of air pollution as they are very sensitive to changes in the environment and usually disappear from the area in case of pollution (Gunathilaka *et al.*, 2011; Rodríguez *et al.*, 2016). Lichens produce a number of secondary metabolites which seldom occur in plants, animals, and other organisms. Most of these metabolites are produced by

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mycobiont and some of the metabolites are formed only in the lichenized state. These substances are often known by name lichen substances or lichen metabolites and are small molecules with complex structure. More than 1000 of such secondary metabolites are known and are derived from acetyl polymalonyl, mevalonic and shikimate pathways (Müller, 2001; Stocker-Wörgötter, 2008; Nguyen *et al.*, 2013). Studies on lichens have shown that solvent extracts and purified compounds exhibit potent bioactivities such as

antioxidant, hepatoprotective, analgesic, antimicrobial, antiviral, cytotoxic, insecticidal, antinociceptive, anthelmintic, neuroactive, anti-inflammatory, enzyme inhibitory, immunomodulatory and anticancer activity (Müller, 2001; Karunaratne *et al.*, 2005; Oksanen, 2006; Verma *et al.*, 2008a; Russo *et al.*, 2012; Brisdelli *et al.*, 2013; Córdova *et al.*, 2013; White *et al.*, 2014; Thadhani *et al.*, 2015; Reddy *et al.*, 2016).

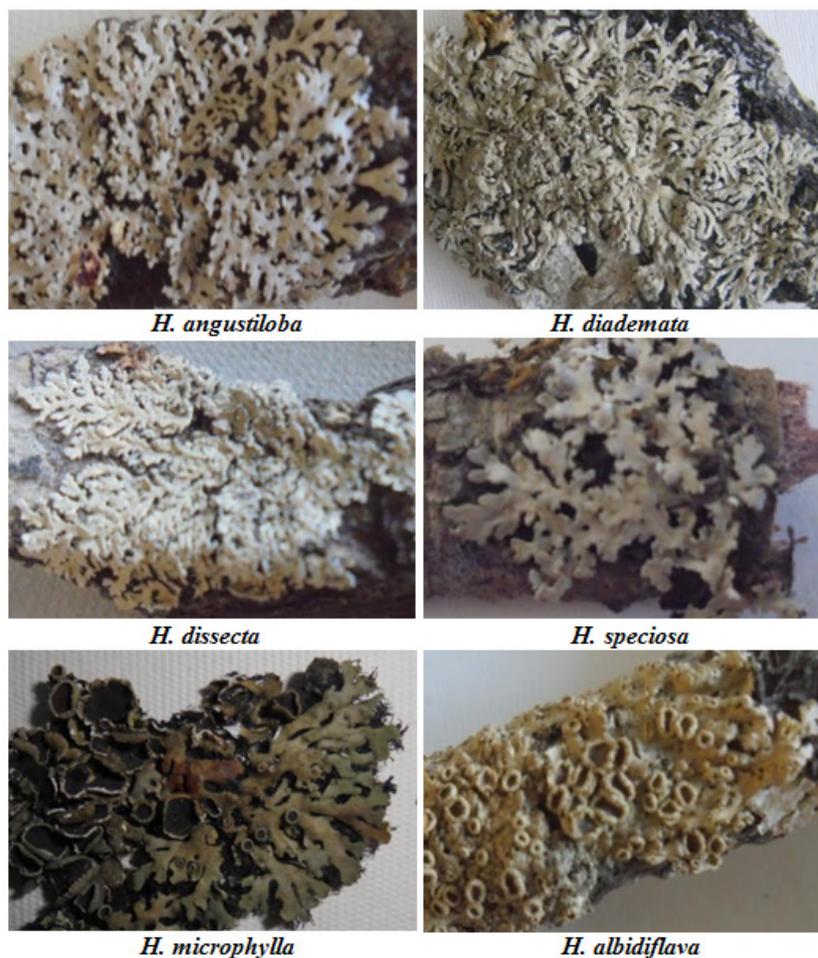


Fig. 1: Some *Heterodermia* species (Photographs by Vinayaka K.S).

THE GENUS *HETERODERMIA*

The lichen genus *Heterodermia* Trevis. (Physciaceae; Lecanorales; Ascomycota) is one of the most commonly found foliose macrolichens (Figure 1) found distributed in tropical and subtropical regions. Earlier, all species of *Heterodermia* were included in *Anaptychia* Körb. until thick-walled spores and the presence of atranorin were considered as useful characters for separating these two genera. The genus *Heterodermia* is distinguished from other foliose lichen genera in the family Physciaceae chiefly on the basis of its prosoplectenchymatous upper cortex in combination with atranorin (as a cortical lichen substance). Most *Heterodermia* species are also characterized by the production of abundant marginal cilia (that resembles rhizenes), lack of a lower cortex and the presence of norstictic

and salazinic acids as the common medullary substances. Thallus of *Heterodermia* is foliose, adnate, suberect, rosulate to pendulous, irregularly or dichotomously branched, heteromerous and corticated on the upper side or both sides. The upper cortex is unevenly or uniformly thick. The photobiont is a green alga. Apothecia are laminal, sessile to pedicellate; asci 8-spored; ascospores 2-celled (Awasthi, 2007; Luckling *et al.*, 2008; Wang *et al.*, 2008; Wei *et al.*, 2008). Some species of *Heterodermia* are used traditionally in certain countries as medicine and as spice and flavoring agent (Upreti *et al.*, 2005; Rawat, 2016). In this review, we focus on traditional uses, chemistry and biological activities of *Heterodermia* species. A detailed and extensive literature survey was carried out on various aspects of the lichen genus *Heterodermia* by referring standard flora, journals, and

various search engines including Google Scholar, PubMed, and ScienceDirect.

ETHNOBOTANICAL USES OF *HETERODERMIA* SPECIES

Lichens have traditional uses worldwide. Some species of *Heterodermia* find potential use in the form of medicine and flavoring agents and in the preparation of perfumes. The ethnic group in Sikkim uses *H. diademata* traditionally and applies the thalli on the cuts for protecting from wetting and infection (Upreti *et al.*, 2005). The ethnic communities in Madhya Pradesh, India make use of *H. tremulans* as spice and flavoring agent for meat and vegetables (Upreti *et al.*, 2005). Together with *Parmotrema*, *H. diademata* is traditionally used as flavoring agent for meat and other food items in Karnataka, India. It is also used medicinally to heal cuts and wounds and is used as a plaster to protect the wound from infection (Vinayaka and Krishnamurthy, 2012). The traditional industries in Uttar Pradesh, India utilize *Heterodermia* species viz. *H. diademata* and *H. boryi* in the preparation of perfumes (Singh *et al.*, 2015). The ethnic communities in Nepal use *H. diademata* for treatment of wound and to stop bleeding after the injury. The lichen is mixed with *Artemisia vulgaris* or *Eupatorium odoratum* and used to cure fresh wounds or cuts (Devkota *et al.*, 2017). The indigenous Pankararu people in the semi-arid of Pernambuco State, Northeast of Brazil, utilize *H. galactophylla* for treating digestive system related problems such as diarrhea and vomiting and for treating epilepsy (Londoño-Castañeda *et al.*, 2017).

COMMON LICHEN SUBSTANCES PRESENT IN *HETERODERMIA* SPECIES

Lichens are capable of producing a number of secondary metabolites which apparently do not occur in other organisms. Most of these metabolites are small molecules but are biologically active and exhibit myriad of biological activities. Besides, these metabolites are also useful in the taxonomy of lichens. Thin layer chromatography is one of the most widely used bioanalytical techniques employed to detect lichen substances. Besides, other techniques such as HPLC, column chromatography, liquid chromatography-mass spectrometry and nuclear magnetic resonance spectroscopic methods have been widely employed to detect and to elucidate the structures of lichen substances. Compounds viz. atranorin and zeorin are known to be the signature compounds to be present in *Heterodermia* species. Besides these compounds, compounds such as norstictic acid and salazinic acid are also present in many of *Heterodermia* species (Awasthi, 2007; Fazio *et al.*, 2007; Molnár and Farkas, 2010; Honda *et al.*, 2010; Musharraf *et al.*, 2015; Kekuda and Vinayaka, 2016). A list of major secondary metabolites detected in some *Heterodermia* species is shown in Table 1 and Figure 2 presents structures of some lichen metabolites.

BIOLOGICAL ACTIVITIES OF *HETERODERMIA* SPECIES

Lichens are shown to display a variety of pharmacological activities. Several studies have been carried out by researchers to investigate biological activities of crude solvent extracts and purified compounds from *Heterodermia* species. Literature survey

revealed that species of *Heterodermia* exhibits a range of biological activities such as antimicrobial, antioxidant, antinociceptive, anti-inflammatory, immunomodulatory, enzyme inhibitory, cytotoxic, insecticidal and anthelmintic activities.

Antibacterial activity of *Heterodermia* species

Studies have shown that solvent extracts and purified compounds from *Heterodermia* species exhibit antibacterial properties. Ethanol extract of *H. leucomela* was found to inhibit Mycobacterium tuberculosis strains (Gupta *et al.*, 2007). The study carried out by Paudel *et al.* (2012) revealed the potential of methanol extract of *Heterodermia* sp. to inhibit *Bacillus subtilis*. The methanol extract of *H. diademata* was effective in inhibiting *Staphylococcus aureus* (isolates from burn), *Streptococcus mutans* (cariogenic isolates), uropathogenic bacteria (Kambar *et al.*, 2014). Extract of *H. obscurata* was effective against gram positive and gram negative bacteria (Kekuda *et al.*, 2015). Solvent extracts of *H. boryi* were shown to inhibit gram positive and gram negative bacteria (Prabhu and Sudha, 2015). Kekuda and Vinayaka (2016) observed anticaries activity exhibited by *H. leucomela* against *Streptococcus mutans* isolates. Dichloromethane extract of *H. diademata* and *H. podocarpa* was inhibitory to *Klebsiella pneumoniae* while dichloromethane extract of *H. leucomelos*, *H. indica* and *H. speciosa* was shown to inhibit the growth of *Staphylococcus aureus* and *K. pneumoniae* (Jha *et al.*, 2017). Kekuda *et al.* (2017) revealed inhibition of gram positive and gram negative bacteria by an extract of *H. incana*. In another study by Hengameh and Rajkumar (2017), solvent extracts of *H. leucomelos* were effective in inhibiting gram-positive and gram-negative bacteria. Atranorin and sekikaic acid, isolated from *H. obscurata*, were shown to display inhibitory activity against bacteria viz. *E. coli*, *B. subtilis* and *S. typhi* (Thadhani *et al.*, 2012).

Antifungal activity of *Heterodermia* species

Extracts and isolated constituents from *Heterodermia* species were shown to display antifungal properties. The aqueous extract obtained from *H. leucomela* was effective in exhibiting antifungal activity against a number of molds including plant pathogenic fungi and dermatophytes in terms of inhibition of spore germination. At 80 µl/ml concentration, 100% inhibition of germination of spores of all test fungi was observed (Shahi *et al.*, 2001). Acetone, methanol and chloroform extracts of *H. diademata* were shown to exhibit antifungal activity against phytopathogenic fungi such as *Aspergillus flavus*, *A. fumigatus*, *Alternaria alternata*, *Fusarium roseum*, *F. oxysporum*, *F. solani*, *Penicillium citrinum* (Tiwari *et al.*, 2011). The methanol extract of *H. diademata* was effective in inhibiting *Candida albicans*, *Cryptococcus neoformans*, *Colletotrichum capsici* (Kambar *et al.*, 2014). The methanol extract of *H. obscurata* displayed antifungal activity against *C. capsici*, *F. oxysporum*, *A. alternata* and *A. flavus* (Kekuda *et al.*, 2015). Solvent extracts viz. acetone, methanol and chloroform extracts of *H. leucomelos* were shown to inhibit the growth of *A. niger*, *A. flavus*, *F. oxysporum*, *F. solani*, *C. falcatum* (Babiah *et al.*, 2015). Extracts of *H. comosa* were effective against *F. solani* and *F. oxysporum* (Shivanna and Garampalli, 2016). The methanol extract of *H. incana* was shown to inhibit mycelial growth of seed-borne fungi (Kekuda *et al.*, 2017). *Candida albicans* was susceptible to dichloromethane fraction of *H. indica*

and *H. diademata* (Jha *et al.*, 2017). Atranorin, isolated from hexane extract of *H. microphylla*, is shown to exhibit antifungal activity against *Colletotrichum gloeosporioides* and *C. musae*. The compound was effective in inhibition germination of spores of the fungi (Bombuwela *et al.*, 2008). Methyl β -orcinol carboxylate, derived from atranorin (isolated from *H. obscurata*) was effective

in inhibiting yeasts and molds (Thadhani *et al.*, 2012). Solvent extracts of *H. boryi* displayed inhibitory activity against *Pestalotia foedans*, *Phomopsis leptostromiformis* var. *occidentalis*, *F. oxysporum*, *Paecilomyces variotii* (Balasubramanian and Nirmala, 2014a).

Table 1: Major secondary metabolites in various *Heterodermia* species.

<i>Heterodermia</i> sp.	Compounds detected	References
<i>H. squamulosa</i>	Atranorin, zeorin	Wang <i>et al.</i> (2008)
<i>H. microphylla</i>	Atranorin, chloroatranorin and zeorin	Bombuwela <i>et al.</i> (2008)
<i>H. queensberryi</i>	Atranorin, zeorin	Weerakoon and Aptroot (2014)
<i>H. japonica</i>	Atranorin, zeorin, salazinic acid, norstictic acid	Din <i>et al.</i> (2010)
<i>H. appendiculata</i>	Atranorin, zeorin, salazinic acid, norstictic acid, chloratranorin	Din <i>et al.</i> (2010)
<i>H. leucomela</i>	Atranorin, zeorin, salazinic acid, glyceryl trilinolate, 6a-hydroxyhop-21bH-22(29)-en, and 3,6-dimethyl-2-hydroxy-4-methoxybenzoic acid	Devkota (2008), Kathirgamanathar <i>et al.</i> (2006)
<i>H. upretii</i>	Atranorin, teloschistin and 7-chloroemodin	Joshi <i>et al.</i> (2014)
<i>H. diademata</i>	Atranorin, chloratranorin and zeorin	Behera <i>et al.</i> (2016)
<i>H. incana</i>	Atranorin and zeorin	Kekuda <i>et al.</i> (2017)
<i>H. obscurata</i>	Atranorin, chloratranorin, zeorin, emodin, 7-chloroemodin	Din <i>et al.</i> (2010)
<i>H. albicans</i>	Atranorin, zeorin and salazinic acid	Behera <i>et al.</i> (2016)
<i>H. angustiloba</i>	Atranorin, zeorin, salazinic acid and norstictic acid	Behera <i>et al.</i> (2016)
<i>H. circinalis</i>	Atranorin, zeorin	Boom <i>et al.</i> (2007)
<i>H. granulifera</i>	Atranorin, chloroatranorin, salazinic acid, zeorin, hypoconstictic acid, consalazinic acid, norstictic acid, 3-O-methylconsalazinic acid, norhypoconstictic acid	Boom <i>et al.</i> (2007)
<i>H. magellanica</i>	Atranorin, zeorin	Boom <i>et al.</i> (2007)
<i>H. antillarum</i>	Atranorin, zeorin and salazinic acid	Behera <i>et al.</i> (2016)
<i>H. flabellata</i>	Atranorin, zeorin, emodin, 7-chloroemodin	Din <i>et al.</i> (2010); Behera <i>et al.</i> (2016)
<i>H. isidiophora</i>	Atranorin and zeorin	Behera <i>et al.</i> (2016)
<i>H. pseudospeciosa</i>	Atranorin, zeorin, salazinic acid and norstictic acid	Behera <i>et al.</i> (2016)
<i>H. punctifera</i>	Atranorin, zeorin and norstictic acid	Devkota (2008)
<i>H. speciosa</i>	Atranorin, zeorin	Devkota (2008)
<i>H. dissecta</i>	Atranorin, zeorin, salazinic acid and norstictic acid	Devkota (2008)
<i>H. indica</i> , <i>H. albidiflava</i> , <i>H. boryi</i> , <i>H. comosa</i> , <i>H. dactyliza</i> , <i>H. firmula</i> , <i>H. galactophylla</i> , <i>H. hypochraea</i> , <i>H. hypoleuca</i> , <i>H. lutescens</i> , <i>H. pellucida</i> , <i>H. togashii</i> , <i>H. tremulans</i>	Atranorin, zeorin	Awasthi (2007)
<i>H. awasthii</i> , <i>H. barbifera</i> , <i>H. himalayensis</i> , <i>H. podocarpa</i> , <i>H. propagulifera</i> , <i>H. rubescens</i>	Atranorin, zeorin, salazinic acid, norstictic acid	Awasthi (2007)
<i>H. dentrica</i>	Atranorin, salazinic acid, norstictic acid	Awasthi (2007)
<i>H. hypocaesia</i> , <i>H. rubricosa</i>	Atranorin, zeorin, salazinic acid	Awasthi (2007)

Cytotoxic activity of *Heterodermia* species

Methanol extracts of some *Heterodermia* sp. were investigated for cytotoxicity by brine shrimp lethality assay. Some species were effective in terms of mortality of shrimps with an IC_{50} value of 100 and 200 μ g/ml (Paudel *et al.*, 2012). Recently, dichloromethane fraction from *H. indica*, *H. leucomela*, *H. diademata*, *H. punctifera*, *H. microphylla*, *H. podocarpa* and *H. speciosa* displayed strong cytotoxicity in brine shrimp assay with >80% mortality (Jha *et al.*, 2017).

Antioxidant activity of *Heterodermia* species

Many *Heterodermia* species have been investigated for

antioxidant activity. Verma *et al.* (2008b) showed a dose-dependent inhibition of lipid peroxidation by an extract of *H. podocarpa*. Thadhani *et al.* (2011) isolated compounds viz. methyl orsellinate, methyl haematommate, methyl- β -orcinolcarboxylate, atranorin, and m-depside sekikiac acid from *H. obscurata* and evaluated their antioxidant activity by in vitro superoxide radical, nitric oxide radical and DPPH radical scavenging assays. The isolated compounds displayed lower scavenging potential when compared to reference antioxidants. Methanol extracts from *Heterodermia* species were effective in scavenging DPPH and ABTS radicals with marked scavenging potential against DPPH radicals (Paudel *et al.*, 2012). Balasubramanian and Nirmala (2014b) screened

antioxidant potential of *H. boryi*. The lichen extract was effective in scavenging DPPH and ABTS radicals and caused inhibition of lipid peroxidation and DNA damage. The study of Behera *et al.* (2016) revealed the antioxidant potential of ethyl acetate extract of *Heterodermia* species by DPPH and TEAC (Trolox

equivalent activity capacity) assays. None of the species except *H. pseudospeciosa* exhibited an inhibition of DPPH to >50%. The study of Jha *et al.* (2017) revealed the potential of *H. indica*, *H. leucomela*, *H. microphylla*, and *H. speciosa* to scavenge DPPH radicals.

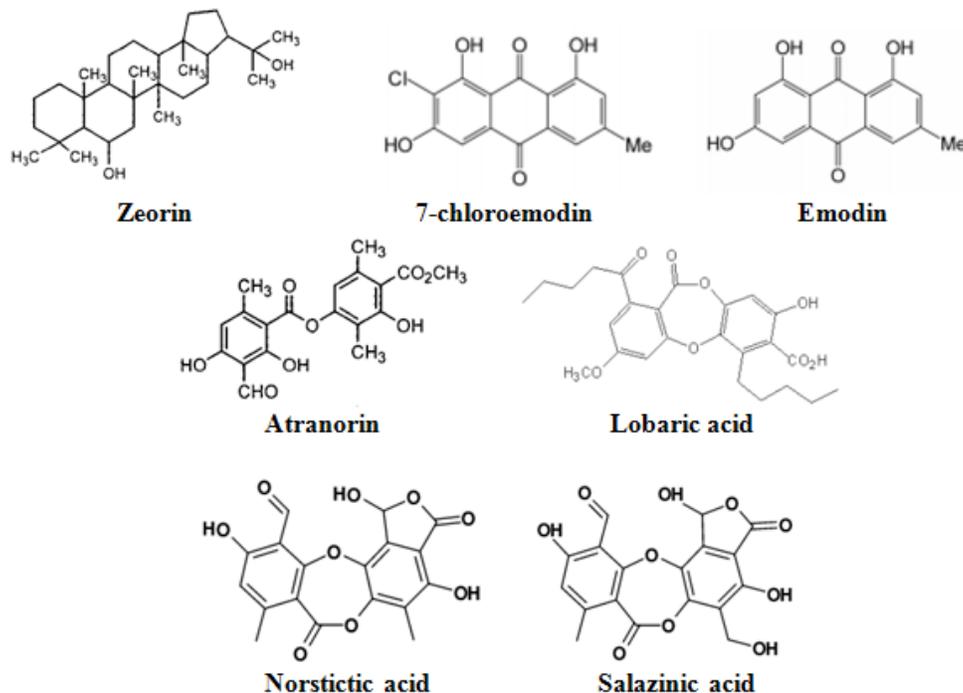


Fig. 2: Structures of some lichen substances.

Table 2: Enzyme inhibitory potential of *Heterodermia* species.

<i>Heterodermia</i> species	Enzyme inhibited	Reference
<i>H. leucomela</i>	Amylase	Karthik <i>et al.</i> (2011)
<i>H. leucomelos</i>	β -Glucosidase	Parizadeh and Garampalli (2016)
<i>H. diademata</i> , <i>H. angustiloba</i> , <i>H. albicans</i> , <i>H. flabellata</i> , <i>H. antillarum</i> , <i>H. isidiophora</i> , <i>H. incana</i> and <i>H. pseudospeciosa</i>	Lipoxygenase	Behera <i>et al.</i> (2016)
<i>H. leucomelos</i>	Amylase	Hengameh <i>et al.</i> (2016)
<i>H. leucomelos</i>	Pancreatic lipase	Shivanna <i>et al.</i> (2017)
<i>Heterodermia</i> sp.	Acetyl and butyryl-cholinesterase, phosphodiesterase, β -glucuronidase	Thadhani <i>et al.</i> (2014)
<i>H. podocarpa</i>	Tyrosinase	Verma <i>et al.</i> (2008b)

Enzyme inhibitory activity of *Heterodermia* species

Studies have shown the potential of some *Heterodermia* species to inhibit certain enzymes of clinical importance such as amylase, lipase, tyrosinase, and glucosidase. A brief detail on the enzyme inhibitory potential of extracts and purified compounds of *Heterodermia* species is presented in Table 2.

Antinociceptive and anti-inflammatory activity of *Heterodermia* species

Glucomannan was obtained from successive aqueous and alkaline extraction of the thallus of the lichenized fungus *H. obscurata*. Intra-peritoneal administration of glucomannan resulted in a marked and dose-dependent inhibition of acetic acid-

induced visceral pain with an ID_{50} of 0.6 mg/kg and inhibition of $88 \pm 4\%$. It also reduced leukocyte migration indicating the potential utilization of glucomannan against pain and inflammation (Pereira *et al.*, 2010). In another study, glucomannan from *H. obscurata*, was investigated for antinociceptive activity in behavioral models of acute and chronic pain in mice. In the partial sciatic nerve ligation model, the glucomannan was found to reduce the mechanical allodynia and the levels of interleukin 1- β (IL-1 β) in spinal cord and nerve. In case of systemic treatment, the polysaccharide inhibited the nociception induced by intraplantar injection of glutamate and by intrathecal injection of N-methyl-D-aspartic acid, (\pm)-1-aminocyclopentane-trans-1,3-dicarboxylic acid, tumour necrosis factor α and IL-1 β . It was concluded that the

glucomannan has significant antinociceptive effect in acute and chronic pain (Córdova *et al.*, 2013).

Immunomodulatory activity of *Heterodermia* species

Lobaric acid, a compound isolated from *Heterodermia* sp., was investigated for immunomodulatory activity by Thadhani *et al.* (2014). The compound was found to exhibit potent oxidative burst inhibitory activity in human polymorphonuclear (PMN) cells. The compound suppressed both the myeloperoxidase dependent and myeloperoxidase independent reactive oxygen species production of PMNs. In another study, compounds viz. methyl orsellinate, methyl haematommate, methyl- β -orcinolcarboxylate, lobaric acid and atranorin isolated from *H. obscurata* were tested for immunomodulatory effect on the basis of their effect on respiratory burst of human whole blood phagocytes, isolated human polymorphonuclear leukocytes and murine macrophages using luminol or lucigenin-based chemiluminescence probes (Thadhani *et al.*, 2015). Compounds viz. methyl haematommate and methyl orsellinate displayed moderate effect on whole blood and intra-cellular ROS (reactive oxygen species), however, these compounds strongly inhibited extra-cellular ROS with IC₅₀ values $3.3 \pm 0.1 \mu\text{g/ml}$ and $6.1 \pm 1.0 \mu\text{g/ml}$, respectively. Lobaric acid was shown to suppress myeloperoxidase dependent and myeloperoxidase independent ROS production in PMNs.

Insecticidal activity of *Heterodermia* species

Karthik *et al.* (2011) determined the insecticidal activity of methanol extract of *H. leucomela* in terms of its larvicidal effect against 2nd and 3rd instar larvae of *Aedes aegypti*. Among larvae, marked susceptibility was shown by 2nd instar larvae. In another study 3,6-dimethyl-2-hydroxy-4-methoxybenzoic acid, isolated from *H. leucomelos*, was shown to exhibit larvicidal effect against 2nd instar larvae of *A. aegypti* (Kathirgamanathar *et al.*, 2006).

Anthelmintic activity of *Heterodermia* species

The study carried out by Prabhu and Sudha (2016) revealed the anthelmintic activity of various solvent extracts viz. aqueous, methanol, petroleum ether, acetone and chloroform extract of *H. boryi*. The extracts were effective in causing paralysis and death of adult Indian earthworm (*Pheretima posthuma*) in a dose-dependent manner. Acetone and methanol extracts displayed significant anthelmintic activity at the highest concentration tested.

CONCLUSIONS

An extensive literature survey carried out resulted in potential biological properties of *Heterodermia* species. Compounds such as atranorin, zeorin, salazinic acid and norstictic acid are common in many species of *Heterodermia*. The presence of these secondary metabolites might be attributed to the various biological activities displayed by *Heterodermia* species. Isolation of mycobiont and their mass cultivation for the purpose of obtaining bioactive metabolites should be considered to exploit the lichens for commercial purpose.

SOURCES OF SUPPORT

None.

CONFLICTS OF INTEREST

Author declare there are no conflict of interest.

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